

UNIVERSITY OF PARDUBICE	
Rector's Measure No. 1/2024	
Title:	Proof-of-concept sustainability plan (SIGMA Programme - Sub-objective No. 1: Support for "Proof of Concept" activities in research organisations)
Applicability:	All units of the University of Pardubice
Effective date:	15 January 2024
Ref. No.:	OPO/0002/24
Prepared and sponsored by:	Ing. Tomáš Novotný, Ph.D., LL.M., ING-PAED IGIP, Head of the Centre for Technology and Knowledge Transfer
Approved by:	Doc. Ing. Petr Doležel, Ph.D., Vice-Rector for Science and Research

Article 1

Monitoring projects with positive evaluation and translating their outcomes/results into products/services, including the commercialization thereof

- (1) The existing system for administering proof-of-concept projects at the University of Pardubice foresees monitoring of the projects and the translation of their outcomes/results into products/services from the beginning of project implementation to its termination (the details of the monitoring process are described in the University of Pardubice Proof-of-concept Policy submitted as part of the proposal of Project No. TQ11000012).
- (2) The finalized projects with positive evaluation are monitored for 3 years from the termination of their implementation (the details of the evaluation process are described in the University of Pardubice Proof-of-concept Policy). Monitoring focuses on, without limitation, the implementation/commercialization of the outcomes in line with the commercialization plan, which forms part of the Project Final Report. This phase of the project life cycle is aimed at specific clients and commercialization partners from the industry. The Centre for Technology and Knowledge Transfer (hereinafter the "Centre") in cooperation with the principal researchers is responsible for the activities supporting the implementation/commercialization; such activities are supervised by the Commercialization Board of the University of Pardubice.
- (3) Once the project has been implemented and funding is no longer provided, the projects are monitored on an annual basis within 30 days after the end of the respective implementation/sustainability year.
 - The principal researchers draw up Proof-of-concept Project Implementation Report.
 - The Centre assesses the compliance of the results during the monitoring period with the commercialization plan, and submits a summary of the implementation reports to the Commercialization Board of the University of Pardubice.
- (4) The Commercialization Board considers and approves the implementation reports of the individual projects.

- (5) The rules defined in Paragraphs (1) to (4) hereof will apply by analogy to projects with positive evaluation in the TQ11000012 project to receive support in the Fourth call for proposals of the SIGMA Programme (Sub-objective 1: Support for “Proof of Concept” activities in research organisations).

Article 2

Details of the system for gradual substitution of funding of proof-of-concept activities with revenues generated by cooperation with the industry

- (1) The University of Pardubice gradually substitutes the funding of proof-of-concept activities from the GAMA/GAMA 2, SIGMA (Sub-objective 1: Support for “Proof of Concept” activities in research organisations) Programmes of the Technological Agency of the Czech Republic with its own revenues generated by cooperation with the industry.
- (2) The funds to support proof-of-concept activities are generated by successful commercialization of the research and development results (hereinafter the “R&D”). The University supports and develops the activities of research teams active in basic and applied research having commercialization potential.
- (3) The revenues from commercialization of R&D results are distributed in accordance with Article 12 of Directive No. 1/2014, Protection of Intellectual Property Rights, as amended by Amendments No. 1, 2, 3, 4 and 5 and Directive No. 1/2019.

A portion of the revenues is allocated to the License Reserve Fund (see Table 1).

Table No. 1: Distribution of Commercialization Income

Net income from commercialization of industrial property exclusive of VAT	Originator	Faculty	License Reserve Fund	University
Sums from 0 to CZK 1,000,000	65%	25%	6%	4%
Sums from CZK 1,000,001 to CZK 5,000,000	35%	35%	26%	4%
Sums from CZK 5,000,001	25%	40%	31%	4%

- (4) The rules applicable to the distribution of commercialization income from R&D results of projects with positive evaluation in the TQ11000012 project to receive support in the Fourth call for proposals of the SIGMA Programme (Sub-objective 1: Support for “Proof of Concept” activities in research organisations) are summarized in Table 2.

**Table No. 2: Distribution of Commercialization Income –
SIGMA Programme - Sub-objective No. 1: Support for “Proof of Concept” activities in
research organisations**

Net income from commercialization of industrial property exclusive of VAT	Originator	Faculty	License Reserve Fund	University
Sums from 0 to CZK 1,000,000	40%	20%	36%	4%
Sums from CZK 1,000,001 to CZK 5,000,000	30%	30%	36%	4%
Sums from CZK 5,000,001	25%	35%	36%	4%

The distribution of the commercialization income is modified and the allocation to the License Reserve Fund is increased in order to secure sufficient funding for the sustainability of proof-of-concept activities for a minimum of 5 years after the termination of the project, see Figure 1.

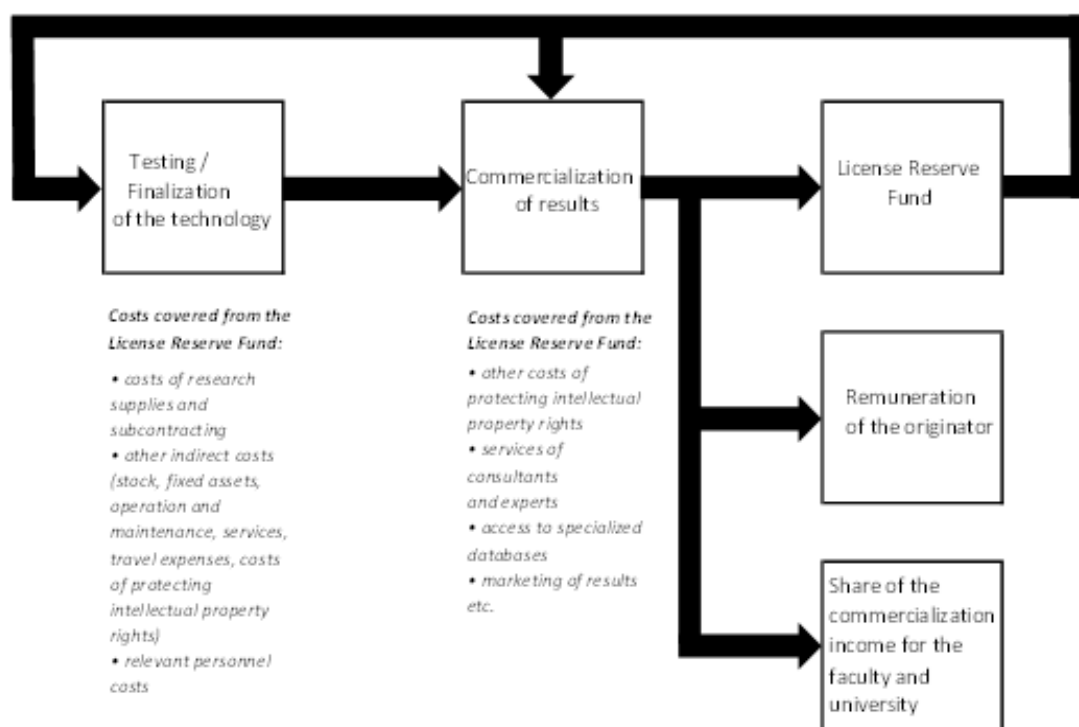


Figure No. 1: Sustainable support for proof-of-concept activities

- (5) The funds accumulated in the License Reserve Fund are primarily used for the remuneration of originators of industrial property in accordance with Articles 10 and 11 of University Directive No. 1/2014, Protection of Intellectual Property Rights, as amended by Amendments No. 1, 2, 3, 4 and 5 and Directive No. 1/2019. The funds are further used to cover costs related to legal protection of industrial property rights and implementation/commercialization of the R&D results.

- (6) If sufficient funds are accumulated in the License Reserve Fund, the Rector will announce an internal call for projects to support proof-of-concept activities. Such projects will be selected and implemented with funding from the License Reserve Fund by analogy to the projects funded from the GAMA/GAMA 2, SIGMA (Sub-objective No. 1: Support for “Proof of Concept” activities in research organisations) Programmes. The minimum balance in the License Reserve Fund once the internal call for projects hereunder has been published is CZK 5,000,000.
- (7) If the Technological Agency of the Czech Republic or another funding institution launches a programme to support proof-of-concept activities with mandatory co-funding, the funds in the License Reserve Fund may be used for such co-funding.
- (8) The University also has revenues from ancillary activities. Ancillary activities include, without limitation, contractual research, consultancy activities, expert activities for the industry, paid courses and drawing up expert reports. If needed, a portion of the funds generated by ancillary activities may be transferred to the License Reserve Fund to support proof-of-concept activities.
- (9) The Centre having human and material resources for proof-of-concept activities as well as their subsequent implementation and commercialization is responsible for the sustainability of proof-of-concept activities. The Centre is funded from University resources and grant funding.

Article 3

Final Provisions

- (1) This Rector’s measure comes into force the moment it is signed by the Rector.
- (2) This Rector’s measure comes into effect upon the execution of the Agreement to support Project No. TQ11000012 by the Technology Agency of the Czech Republic and the University of Pardubice.

In Pardubice on 15 January 2024

prof. Ing. Libor Čapek, Ph.D.
Rector